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UTILITY **PATENT APPLICATION TRANSMITTAL**

Attorney Docket No.			36730-000001/US
Inventor(s) Dirk			NOETZOLD and Mark NOETZOLD
Title	METHO SYSTEM VALUAT	AS, H	ND SYSTEM FOR VALUATION OF COMPLEX N PARTICULAR FOR CORPORATE RATING AND

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 7.	1. See (Subrice Subrice Subric	enter 600 concerning utility enter fansmittal Form (e.g. pmit an original and a duplicate oblicant claims small entities 37 CFR 1.27. edification of the fansmitten of the Inventions References to Related attement Regarding Fed spresence to sequence listing a computer program listing ackground of the Invention let Summary of the Invention let Summary of the Description of the Drawitalied Description of the Drawitalied Description let Summary of the Drawitalied Description let Summary of the Invention let Summary of the Drawitalied Description let Invention let Summary of the Disclosure wing(s) (35 U.S.C.113) claration let Invention let	patent application of the processing of the proc	ts 18] is 18] is 3 (d)) completed)	7.	CD-ROM or Cl Computer Projectide and/or inplicable, all ne Computer Re ecification Sec CD-ROM or paper Statements vi ACCOMPAI Assignment 37 C.F.R.§3. (when there English Tran Information I Statement (II Preliminary in Return Rece (Should be so Certified Cop (if foreign por Request and	Box Patent Washington D-R in duplica gram (Appendamino Acid Secessary) adable Form quence Listing CD-R (2 coperifying identify NYING APPL Papers (covers) (2 coperifying identify an assigned assigned by Papers (covers) (2 coperify Papers) (PTO-144) Amendment of the post of Priority is claimed Non Publica	Application n, DC 20231 ate, large table or dix) iequence Submission (CRF) g on: oites); or ity of above copies LICATIONS PARTS er sheet & document(s)) nent Power of ae) Attorney ment (if applicable) Copies of IDS (MPEP 503) emized) Document(s) ned) attion under 35 U.S.C. 122				
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(for a continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application information: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS Application Division Correspondence address below	i. D Si na 1.4 6. Applica 18. If a CONTINI or in an Applica Continual Prior applica For CONTINUA under Box 5b, is The incorporation	for a continuation/division DELETION OF INVECTION OF INVECTION OF INVECTION OF INVECTION OF INVECTION OF A CONTINUATION	nnal with Box 18 on NTOR(S) deleting inventor(s) on, see 37 CFR	completed)	<u> </u>	Certified Cop (if foreign pr Request and	by of Priority I riority is claim I Non Publica	Document(s) ned) ation under 35 U.S.C. 122				
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No: / Prior application information:	or in an Applica Continual Prior applica For CONTINUA under Box 5b, is The incorporation				17. 🔯	•						
or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label	or in an Applica Continual Prior applica For CONTINUA under Box 5b, is The incorporation											
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For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Or Correspondence address below	For CONTINUA under Box 5b, is The incorporation	ation Divisiona	I ☐ Conti	inuation-in-part	(CIP)	of prior	application No	:/				
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The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Harness, Dickey & Pierce, P.L.C. P.O. Box 8910	The incorporation											
17. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) P.O. Box 8910												
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(Insert Customer No. or Attach bar code label here) Name P.O. Box 8910		Number of Per Code Labo		305	93		or 🛮 Co	orrespondence address below				
Name Harness, Dickey & Pierce, P.L.C. P.O. Box 8910		Number of Bar Code Labe	1	000				i				
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P.O. Box 8910		Harness, Dickey & Pierce, P.L.C.										
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	Address P.	.O. Box 8910										
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City Reston State VA Zip Code 20195	City B	Reston State					in Carl	20105				
City Reston State VA Zip Code 20195	Ony h	1011		State				20195				
Country United States of America Telephone 703-668-8000 Fax 703-668-8200	Country U	United States of Americ	a Telepi	hone	703-668-8000		Fax	703-668-8200				
Name (Print/Type) Donald J. Daley Registration No. (Attorney/Agent) 34,313	Name (Print/	ame (Print/Type) Donald J. Daley				n No. (Attorne	y/Agent)	34,313				
;	Signature	<i>r ype)</i> Donald J	\sim		# 46,175			L				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL		Complete if Known					
for FY 2003	Application Number	NEW APPLICATION					
101112000	Filing Date	AUGUST 21, 2003					
	Inventor(s)	Dirk NOETZOLD and Mark NOETZOLD					
Patent fees are subject to annual revision.	Examiner Name	UNASSIGNED					
	Group / Art Unit	UNASSIGNED					
(A) 4 050 00		26720 00001/LIC					

	METHOD OF PAYMENT (check one)							FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge							3. ADDITIONAL FEES						
1. 🛭					over payments to:		Fee	Large Entity		Small Entity			
Deposit Accoun		08-07	08-0750					Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Number	1 00-07.	30				105	130	205	65	Surcharge - late filing fee or oath			
Deposit							127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Accoun		Harne	ss, Dick	ey & Pierce, P.L	.C.		139	130	139	130	Non-English specification		
Name							147	2,520	147	2,520	For filing a request for reexamination		
Un	der 37	y Additior CFR 1.16	and 1.1	7			112	920*	112	920°	Requesting publication of SIR prior to Examiner action		
Se	е 37 С	claims sm FR 1.27		y status.			113	1,840*	113	1,840°	Requesting publication of SIR after Examiner action		
2. Payment Enclosed:						115	110	215	55	Extension for reply within first month			
☐ Ch	eck	☐ Cre	dit card	Money Order	☐ Other		116	410	216	205	Extension for reply within second month		
FEE CALCULATION							117	930	217	465	Extension for reply within third month		
1. BA	SIC FIL	ING FEE		ALCOLATION			118	1,450	218	725	Extension for reply within fourth month		
Large E	ntity	Small E	Entity				128	1,970	228	985	Extension for reply within fifth month		
				Fee Description			119	320	219	160	Notice of Appeal		
	.,		(\$)		Fee P	ald	120	320	220	160	Filing a brief in support of an appeal		
				Utility filing fee	750		121	280	221	140	Request for oral hearing		
				Design filing fee Plant filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding		
108 7	50	208	375	Reissue filing fe	θ	1	140	110	240	55	Petition to revive – unavoidable		
114 1	60	214	80	Provisional filling	j fee		141	1,300	241	650	Petition to revive - unintentional		
			DTOT 4		(A) 754		142	1300	242	650	Utility issue fee (or reissue)		
		SU	BTOTA	L (1)	(\$) 750		143	470	243	235	Design issue fee		
2. EXTRA CLAIM FEES					144	630	244	315	Plant issue fee				
					ee from Fe		122	130	122	130	Petitions to the Cornmissioner		
			г			aid	123	50	123	50	Processing fee under 37 CFR 1.17 (q)		
otal Claims dependent	6	-20 -3·	Ĭ	36 X	18 = 64 84 = 25	==	126	180	126	180	Submission of Information Disclosure Stmt		
laims ultiple ependent	L		-[^ 	= 90		581	40	581	40	Recording each patent assignment per property (times number of properties)		
Large		Small	Entit	y			146	750	246	375	Filing a submission after final rejection (37 CFR § 1.129(a))		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Descript			149	750	249	375	For each additional invention to be examined (37 CFR § 1.129(b))		
103	18	203	9	Claims in exc			179	750	279	375	Request for Continued Examination (RCE)		
102 104	84 280	202 204	42 140	•	claims in excess o		1				· · · L		
109	84	209	42		ndent claim, if not dependent claims o It		169	900	169	900	Request for expedited examination of a design application		
110	18	210	9	** Reissue cla over original	aims in excess of 2 patent	0 and	Other f	ee (specif	'y)	_	-		
			SI	UBTOTAL (2)	(\$)900	_	Į.	ced by Ba		- a Fee P	aid SUBTOTAL (3) (\$) 0		

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Donald J. Daley	Registration No. Attorney/Agent)	34,313	Telephone	703-668-8000
Signature	13/	#16.17	5	Date	August 21, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION SHEET

Applicant(s):

Dirk NOETZOLD and Mark NOETZOLD

Application No:

NEW

Filed:

August 21, 2003

For:

METHOD AND SYSTEM FOR VALUATION OF COMPLEX

SYSTEMS, IN PARTICULAR FOR CORPORATE RATING AND

VALUATION

Priority Claimed Under 35 U.S.C. §119 and/or 120:

COUNTRY

DATE

NUMBER

USA

August 21, 2003

60/404745

Send correspondence to:

HARNESS, DICKEY & PIERCE, P.L.C.

P.O. Box 8910 Reston, VA 20195 (703) 668-8000

The above information is submitted to advise the United States Patent and Trademark Office of all relevant facts in connection with the present application. A timely executed Declaration in accordance with 37 CFR 1.64 will follow.

Respectfully submitted,

By

Donald J. Daley, Reg. No. 34,313

P.O. Box 8910

Reston, VA 20195 (703) 668-8000